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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: James F. ALLSUP and Scott GROUP: 3626  
POSTON

SERIAL NO.: 10/785,473 EXAMINER:

FILED: February 24, 2004 DOCKET NO.: AI 7391 C1

FOR: Long Term Disability Overpayment Recovery Service with  
Interactive Client Component

St. Louis, Missouri  
June 16, 2004

Mail Stop: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450


**INFORMATION DISCLOSURE STATEMENT**  
**PURSUANT TO §1.97 AND § 1.98**

Sir:

The information, patents and/or literature references listed on the attached form PTO-1449 are being submitted within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); within three months of the date of entry of the national stage as set forth in § 1.491 in an international application; before the mailing of a first Office action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114. **Therefore, no fee is due.**

**Mailing Certificate**

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on June 16, 2004.

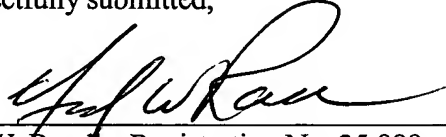
  
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P. A. Babcock  
Date of Signature: June 16, 2004

APPLICANT: James F. ALLSUP and Scott POSTON  
SERIAL NO.: 10/785,473  
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The Commissioner is hereby authorized to charge any additional fees or credit overpayment under 37 CFR 1.16 and 1.17 which may be required by this paper to Deposit Account 162201.

*Duplicate copies of this sheet are enclosed.*

Respectfully submitted,



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Ned W. Randle, Registration No. 35,989  
POLSTER, LIEDER, WOODRUFF & LUCCHESI, L.C.  
12412 Powers Court Drive, Suite 200  
St. Louis, MO 63131  
Phone 314-238-2400  
Fax 314-238-2401



<b>FORM PTO-1449</b> U.S. DEPARTMENT OF COMMERCE, PATENT AND TRADEMARK OFFICE <b>INFORMATION DISCLOSURE          STATEMENT BY APPLICANT</b> (Use several sheets if necessary)	ATTY. DOCKET NO.: AI 7391C1	SERIAL NO.: 10/785,473
	APPLICANT: James F. Allsup and Scott Poston	
	Filing Date: 2/24/04	Group: 3626

**U.S. PATENT DOCUMENTS**

Examiner Initial	Document Number								Kind Code	Patent Issue Date	Name
	US	4	4	9	1	7	2	5		01- 1985	Pritchard, Lawrence E.
	US	4	8	5	8	1	2	1		08- 1989	Barber et al
	US	5	3	0	1	1	0	5		04-1994	Cummings, Jr., Desmond D.
	US	5	7	2	7	2	4	9		03-1998	Pollin, Robert E.
	US	6	2	0	8	9	7	3	B1	03- 2001	Boyer et al

**FOREIGN PATENT DOCUMENTS**

Examiner Initial										Translation	
	Document Number								Date	Country	Yes

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

	1	Business Reply Mail Card - <i>First, the Bad New ... Now the Good News</i>
		Date Unknown
	2	Business Reply Card - <i>Who Says Drop Outs</i>
		Date Unknown
	3	<i>The Third-Party Administrator's First Choice In Cost Containment</i>
		Date Unknown; 2 pages
	4	"Disabled? Can't Work? Can't Wait?"
		Date Unknown; 2 pages
	5	"PASS – A Complete Benefit, Financial and Medical Services Program for People with Disabilities"; Date Unknown; 10 pages
	6	<i>Don't take our word for it when we tell you we're the nation's best Social Security assistance company</i> 1992; 4 pages
	7	<i>The Social Security Disability Specialists</i> 1993; 2 pages
	8	Social Security Disability Consultants, October 29, 1993, pages 1-5

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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### U.S. PATENT DOCUMENTS

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### FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Translation Yes	No

### OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	9	<i>How To Get Your Social Security Disability Benefits Quickly &amp; Easily</i>
		1995-1996; 2 pages
	1	<i>Allsup Inc. 's Overpayment Recovery Service</i>
	0	1995-1996; 2 pages
	1	<i>Allsup Inc. 's Prescription For Medicare Recovery</i>
	1	1995-1996; 2 pages
	1	Printout from Allsup's website - <i>Allsup's Employer Services</i>
	2	1996; 3 pages
	1	Anonymous, "Injured Workers Were Paid Too Much," Sarasota Herald Tribune,
	3	Sarasota, Florida, March 28, 1997
	1	<i>Help In Applying for Social Security Disability Benefits Allsup Inc.</i>
	4	1997; 7 pages
	1	<i>Allsup Inc. 's Overpayment Recovery Service</i>
	5	1997-1998, 2 pages
	1	<i>Disability Coordination By Allsup Inc.</i>
	6	1999 - only 2 months use; 5 pages

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				Yes No

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

		1	Runner, Diana, "Changes in unemployment insurance legislation during 1998,"
		7	Monthly Labor Review, January 1998, v112, n1, p. 59; Dialog accession no. 03897677, file no. 148; 11 pages
		1	King, Drew, "Know the Disability Management Benchmarks," National
		8	Underwriter Life & Health – Financial Services Edition, v. 103, n7, p16, February 15, 1999; 2 pages
		1	Web archive printout: http://
		9	webarchive.org/web/19991128152241/http.allsupinc.com/index.html
		2	Web archive printout: http://
		0	webarchive.org/web/20000229231516/www.allsupinc.com/services/corpser.htm
		2	<i>Enter A New Dimension Of Social Security Savings</i>
		1	2000; 7 pages
		2	<i>Enter Long-Term Disability Savings</i>
		2	2000; 6 pages
		2	<i>Enter Workers' Compensation Savings</i>
		3	2000; 6 pages

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Examiner Initial	Document Number	Date	Country	Translation Yes	No

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	2	<i>Enter Group Health Savings</i>
	4	2000; 6 pages
	2	<i>Social Security Disability Assistance Program</i>
	5	2000; 6 pages
	2	Printout from Allsup's website: <i>PASS<sup>TM</sup>-Post-Award Service &amp; Savings</i>
	6	2000; 2 pages
	2	<i>Seamless Overpayment Recovery Service</i>
	7	2000; 4 pages
	2	Printout from Allsup's website: <i>Social Security-Driven Savings</i>
	8	2003; 3 pages
	2	Allsup Employee Newsletter Article: <i>Bank Transaction Builds Client Base</i>
	9	September 1999; 2 pages

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